

UNITED NATIONS



NATIONS UNIES

POSTAL ADDRESS—ADRESSE POSTALE: UNITED NATIONS, N.Y. 10017

Reference: C.N.432.2019.TREATIES-XXVII.3 (Depositary Notification)

BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY MOVEMENTS OF
HAZARDOUS WASTES AND THEIR DISPOSAL

BASEL, 22 MARCH 1989

AMENDMENTS TO ANNEXES II, VIII AND IX OF THE CONVENTION

The Secretary-General of the United Nations, acting in his capacity as depositary,
communicates the following:

At its fourteenth meeting held in Geneva from 29 April to 10 May 2019, the Conference of the Parties to the above Convention, adopted by decision BC-14/12, amendments to Annexes II, VIII and IX to the Convention.

In accordance with paragraph 3 and subparagraph 2 (b) of Article 18 of the Convention, any Party that is unable to accept amendments to annexes to the Convention shall so notify the Depositary, in writing, within six months from the date of the communication of the adoption by the Depositary. The Depositary shall without delay notify all Parties of any such notification received. A Party may at any time substitute an acceptance for a previous declaration of objection and the amendments to the annex in question shall thereupon enter into force for that Party.

Pursuant to subparagraph 2 (c) of Article 18, on the expiry of six months from the date of the circulation of the communication by the Depositary, the amendments to the annexes shall become effective for all Parties to the Convention that have not submitted a notification in accordance with paragraph 2 (b).

.... The texts of the amendments to Annexes II, VIII and IX as contained in the above-mentioned decision of the Conference of the Parties, in the six authentic languages, are transmitted herewith.

24 September 2019

A handwritten signature in dark ink, consisting of stylized letters that appear to be 'DN' with a horizontal line underneath.

BC-14/12: Amendments to Annexes II, VIII and IX to the Basel Convention

The Conference of the Parties,

Having considered the proposals by the Government of Norway to amend Annexes II, VIII and IX to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal,¹

1. *Decides* to amend Annex II to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal by adding the following entry:

Y48 ^{2,3}	<p>Plastic waste, including mixtures of such waste, with the exception of the following:</p> <ul style="list-style-type: none"> • Plastic waste that is hazardous waste pursuant to paragraph 1 (a) of Article 1⁴ • Plastic waste listed below, provided it is destined for recycling⁵ in an environmentally sound manner and almost free from contamination and other types of wastes:⁶ <ul style="list-style-type: none"> - Plastic waste almost exclusively⁷ consisting of one non-halogenated polymer, including but not limited to the following polymers: <ul style="list-style-type: none"> ○ Polyethylene (PE) ○ Polypropylene (PP) ○ Polystyrene (PS) ○ Acrylonitrile butadiene styrene (ABS) ○ Polyethylene terephthalate (PET) ○ Polycarbonates (PC) ○ Polyethers - Plastic waste almost exclusively⁷ consisting of one cured resin or condensation product, including but not limited to the following resins: <ul style="list-style-type: none"> ○ Urea formaldehyde resins ○ Phenol formaldehyde resins ○ Melamine formaldehyde resins ○ Epoxy resins ○ Alkyd resins - Plastic waste almost exclusively⁷ consisting of one of the following fluorinated polymers:⁸ <ul style="list-style-type: none"> ○ Perfluoroethylene/propylene (FEP) ○ Perfluoroalkoxy alkanes: <ul style="list-style-type: none"> ▪ Tetrafluoroethylene/perfluoroalkyl vinyl ether (PFA) ▪ Tetrafluoroethylene/perfluoromethyl vinyl ether (MFA) ○ Polyvinylfluoride (PVF) ○ Polyvinylidene fluoride (PVDF) • Mixtures of plastic waste, consisting of polyethylene (PE), polypropylene (PP) and/or polyethylene terephthalate (PET), provided they are destined for separate recycling⁹ of each material and in an environmentally sound manner and almost free from contamination and other types of wastes.⁶
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¹ UNEP/CHW.14/27, annex I.

² This entry becomes effective as of 1 January 2021.

³ Parties can impose stricter requirements in relation to this entry.

⁴ Note the related entry on list A A3210 in Annex VIII.

⁵ Recycling/reclamation of organic substances that are not used as solvents (R3 in Annex IV, sect. B) or, if needed, temporary storage limited to one instance, provided that it is followed by operation R3 and evidenced by contractual or relevant official documentation.

⁶ In relation to “almost free from contamination and other types of wastes”, international and national specifications may offer a point of reference.

⁷ In relation to “almost exclusively”, international and national specifications may offer a point of reference.

⁸ Post-consumer wastes are excluded.

⁹ Recycling/reclamation of organic substances that are not used as solvents (R3 in Annex IV, sect. B), with prior sorting and, if needed, temporary storage limited to one instance, provided that it is followed by operation R3 and evidenced by contractual or relevant official documentation.

2. *Also decides* to amend Annex VIII to the Basel Convention by inserting a new entry, A3210, as follows:

A3210 ¹⁰	Plastic waste, including mixtures of such waste, containing or contaminated with Annex I constituents, to an extent that it exhibits an Annex III characteristic (note the related entries Y48 in Annex II and on list B B3011).
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3. *Further decides* to amend the entry B3010 in Annex IX to the Basel Convention by adding a new footnote to the entry, as follows: "Entry B3010 is effective until 31 December 2020. Entry B3011 becomes effective as of 1 January 2021."

4. *Decides* to amend Annex IX to the Basel Convention by inserting a new entry, B3011, as follows:

B3011 ¹¹	<p>Plastic waste (note the related entries Y48 in Annex II and on list A A3210):</p> <ul style="list-style-type: none"> • Plastic waste listed below, provided it is destined for recycling⁵ in an environmentally sound manner and almost free from contamination and other types of wastes:⁶ <ul style="list-style-type: none"> - Plastic waste almost exclusively⁷ consisting of one non-halogenated polymer, including but not limited to the following polymers: <ul style="list-style-type: none"> ○ Polyethylene (PE) ○ Polypropylene (PP) ○ Polystyrene (PS) ○ Acrylonitrile butadiene styrene (ABS) ○ Polyethylene terephthalate (PET) ○ Polycarbonates (PC) ○ Polyethers - Plastic waste almost exclusively⁷ consisting of one cured resin or condensation product, including but not limited to the following resins: <ul style="list-style-type: none"> ○ Urea formaldehyde resins ○ Phenol formaldehyde resins ○ Melamine formaldehyde resins ○ Epoxy resins ○ Alkyd resins - Plastic waste almost exclusively⁷ consisting of one of the following fluorinated polymers:⁸ <ul style="list-style-type: none"> ○ Perfluoroethylene/propylene (FEP) ○ Perfluoroalkoxy alkanes: <ul style="list-style-type: none"> ▪ Tetrafluoroethylene/perfluoroalkyl vinyl ether (PFA) ▪ Tetrafluoroethylene/perfluoromethyl vinyl ether (MFA) ○ Polyvinylfluoride (PVF) ○ Polyvinylidene fluoride (PVDF) • Mixtures of plastic waste, consisting of polyethylene (PE), polypropylene (PP) and/or polyethylene terephthalate (PET), provided they are destined for separate recycling⁹ of each material and in an environmentally sound manner, and almost free from contamination and other types of wastes.⁶
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¹⁰ This entry becomes effective as of 1 January 2021.

¹¹ This entry becomes effective as of 1 January 2021. Entry B3010 is effective until 31 December 2020.